

Pre-Analysis Plan:  
Title of the study\*

Author's name<sup>†</sup>

Date of latest draft

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<sup>†</sup>Author's affiliation and e-mail.

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# 1 Introduction

## 1.1 Abstract

- In 1-2 sentences, what does the study entail?
- In 1-2 sentences, why is this study important/relevant?

## 1.2 Motivation

- What is the main problem/question motivating the study?
- How has this problem/question been addressed thus far?
- How is this study different from prior research on this problem/question?
- Why is the context that you have chosen for this study appropriate?

## 1.3 Research Questions

- What are the main research questions the study seeks to answer?

# 2 Research Strategy

## 2.1 Sampling

### 2.1.1 Sampling Frame

- What is the eligible population for the study?
  - What are the main characteristics of this population?
- What is the eligible population for the study?
  - What is the expected sample size?
  - How does the sample differ from the population?

### **2.1.2 Statistical Power**

- What is the effect size you will be able to detect?
  - What are your assumptions about your alpha-level?
  - What are your assumptions about your statistical power?
  - What are your assumptions about variability in your effect size?
  - How many sites will you have?
  - How many people will you have in each site?
  - What share of the variance do you expect to predict with your covariates?
- How sensitive is your effect size to changes in your parameters?

### **2.1.3 Assignment to Treatment**

- How will individuals be assigned to treatment and control conditions?
- What is the source of exogenous variation in your study?

### **2.1.4 Attrition from the Sample**

- Do you anticipate any form of attrition from the sample?
  - If so, what share of the sample do you anticipate will attrit?
  - On what evidence are you basing your expectations about attrition?
  - How realistic are your expectations about attrition?
- What can you do anything to prevent/remedy sample attrition?
- How does expected attrition change your power calculations?

## **2.2 Fieldwork**

### **2.2.1 Instruments**

- What data collections instruments will you employ?
  - What (groups of) indicators will each instrument cover?
  - How was each instrument developed?

- Have each instrument been used before?
- If so, by whom? If not, are you piloting it?
- What are the main advantages/disadvantages of each instrument?

### **2.2.2 Data Collection**

- How long will the entire data collection process take from start to finish?
- What does the data collection entail?
- What steps will be take to keep the data collected confidential at this stage?

### **2.2.3 Data Processing**

- How long will data processing take from start to finish?
- What does the data processing entail?
- What steps will be take to keep the processed data confidential?
- Who has ownership over the processed data?
- How will the data be used/stored after the study at this stage?

## **3 Empirical Analysis**

### **3.1 Variables**

- What are the main variables of interest in your study?
  - How is each of them defined in your dataset?

### **3.2 Balancing Checks**

- How will you check balance between treatment and control groups?
  - What is the specification that you will run?
  - What variables will you include in these balancing checks?
- How will you check balance between attritors and non-attritors?

- What is the specification that you will run?
- What variables will you include in these balancing checks?

### **3.3 Treatment Effects**

#### **3.3.1 Intent to Treat**

- How will you estimate the (causal) effect of the offer of the treatment?
  - What is the specification that you will run?
  - What controls will you include in your specification?

#### **3.3.2 Treatment on the Treated**

- How will you estimate the (causal) effect of the receipt of the treatment?
  - What is the specification that you will run?
  - What controls will you include in your specification?

### **3.4 Heterogeneous Effects**

- Which groups do you anticipate will display heterogeneous effects?
- What is the broad theory of action that leads you to anticipate these effects?

#### **3.4.1 Intent to Treat**

- How will you estimate the heterogeneous effects of the offer of the treatment?
  - What are the specifications that you will run?
  - What controls will you include in your specification?

#### **3.4.2 Treatment on the Treated**

- How will you estimate the heterogeneous effects of the receipt of the treatment?
  - What are the specifications that you will run?
  - What controls will you include in your specification?

### **3.5 Standard Error Adjustments**

- How will you account for clustering in your data?
- How will you address false positives from multiple hypothesis testing?
  - If you plan to adjust your standard errors, what adjustment procedure will you use? (e.g., Family Wise Error Rate, False Discovery Rates, etc.)
  - If you plan to aggregate multiple variables into an index, which variables will you aggregate and how?
  - How will you deal with outcomes with limited variation?

## **4 Research Team**

- Who are the principal investigators of this study?
  - What will each of these investigators do?
- Will there be any research assistants in this study?
  - If so, what will these research assistants do?

## **5 Deliverables**

- What are the main products that will result from this study?
- Who will be the lead author(s) for each of these deliverables?

## **6 Calendar**

- How long will the entire study take from start to finish?
- What are the different tasks/steps to be completed each week/month?

## 7 Budget

- What will each part of this study cost?
- What sources of funding do you anticipate?